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12-28-01

Attorney Docket No.

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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY **PATENT APPLICATION**

BB1057 US CNT First Inventor Kenneth Joseph Leto et al.

TRANSMITTAL

Corn Plants and Products With Improved Oil Composition Title

| (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) | | | | Express Ma | express Mail Label No. ET5111053454US | | | | | | |
|---|--|--|--|-----------------|---|--|---|------------|-----|--|--|
| APPLICATION ELEMENTO | | | | | | | | | | | |
| APPLICATION ELEMENTS | | | | ADD | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application | | | | | | |
| See MPEP chapter 600 concerning utility patent application contents. | | | | | | | n, DC 20231 | | 3 | | |
| . — | 1. Eee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or | | | | | | | | | | |
| | (Submit an onginal and a duplicate for fee processing) Computer Program (Appendix) | | | | | | | | | | |
| | See 37 CFR 1.2 | | itus. | | 8. Nucleotide and/or Amino Acid Sequence Submission | | | | | | |
| I — | | | | | | (if applicable, all necessary) a. ☐ Computer Readable Form (CRF) | | | | | |
| (| (preferred arrangement set forth below) | | | | | b. Specification Sequence Listing on: | | | | | |
| 1 | Descriptive title of Cross Reference | of the Invention to Related Applicated | ations | i. | i. CD-ROM or CD-R (2 copies); or | | | | | | |
| - | Statement Regar | rding Fed sponsore | ed R & D | _ | ii. paper | | | | | | |
| • | | quence listing, a ta ogram listing appe | | C. [| c. Statements verifying identity of above copies | | | | | | |
| | Background of the | ne Invention | nax | | ACCOMPANYING APPLICATIONS PARTS | | | | | | |
| | Brief Summary of | of the Invention of the Drawings (| if filed) | 9. 🔲 | Assignm | | er sheet & documer | ıt(s)) | | | |
| | Detailed Descrip | | n mea) | 10. | 10. 37 C.F.R.§3.73(b) Statement Power of | | | | | | |
| | Claim(s) Abstract of the D | ilo al govern | | ł | (when th | ere is an assign | ee) Attorney | | | | |
| _ | | | | | 11. English Translation Document (if applicable) | | | | | | |
| | Prawing(s) (35 t Declaration | _ | Total Sheets 0 1 | ' - | 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations | | | | | | |
| | | ر ا ed (original or co | | 13. 🖂 | | ary Amendment | | | ŀ | | |
| , | - | ` • | (37 CFR 1.63 (d)) | 14. 🛛 | | • | | | | | |
| 0. 🖂 | | | vith Box 18 completed) | | Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | | | |
| , , | | OF INVENTO | | 15. 🔲 | | Copy of Priority | | | ı | | |
| | Signed stateme | ent attached deletin | ng inventor(s) | 16. 🗆 | (if foreign priority is claimed) | | | | | | |
| | named in the p 1.63(d)(2) and | rior application, se | e 37 CFR | 10. | 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 | | | | | | |
| 6. □ Apı | , ,, , | ` ' | ED 1 76 | | or its equ | uivalent. | | | | | |
| 177 | | | | | 17. Other: Biological Deposit Receipts, Declaration of | | | | | | |
| | Biological Deposit, 3 Month Extensionof Time | | | | | | | | | | |
| 18. If a CON | 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, | | | | | | | | | | |
| | | neet under 37 CF | | | | | 4.6 | | ı | | |
| ☑ Conti | | Divisional | ☐ Continuation-in-pa st 13, 1996, which is a co | art (CIP) of pr | ior application | No: 09 / 303917 | filed May 4, 1999 which | ı is a | 1 | | |
| is a continua | ition of Application | n No. 08/196,622 fi | iled February 15, 1994. | munuation of | PC i Applicat | ion No. US95/U2U | 76 filed February 15, 18 | ∌95, which | | | |
| Prior application information: Examiner Gary Benzion Group / Art Unit: 1638 | | | | | | | | | | | |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. | | | | | | | | | | | |
| The incorpo | oration can only | be relied upon wh | nen a portion has been i | nadvertently | omitted from | n the submitted a | by incorporated by re application parts. | rerence. | - 1 | | |
| The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS | | | | | | | | | | | |
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| Country Telephone | | | | Fax | | | ٦ | | | | |
| Name (Drin | Name (Print/Type) | | | Pagistre t | Build if M. M. | | | | | | |
| rame (Fill | Name (Print/Type) Lynne M. Christenbury F | | | | Registration No. (Attorney/Agent) 30,971 | | | | | | |
| Signature | | Lynne M. Christyn | | | luly Date Del | | | 01 | | | |
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| FEE TRANSMITTAL | Complete if Known | | | | | |
|---|----------------------|----------------------------|--|--|--|--|
| | Application Number | Unknown | | | | |
| for FY 2002 | Filing Date | Concurrently Herewith | | | | |
| Patent fees are subject to annual revision | First Named Inventor | Kenneth Jospeh Leto et al. | | | | |
| ratent rees are subject to armula revision | Examiner Name | Unknown | | | | |
| Applicant Claims small entity status. See 37 CFR 1.27 | Group / Art Unit | Unknown | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1660 | Attorney Docket No. | BB1057 US CNT | | | | |

| TOTAL AMOUNT OF PAYMENT (\$) 1660 | | Attorn | ey Dock | et No. | E | B1057 US CNT | ノ |
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| METHOD OF PAYMENT (check all that apply) | | | | | FEE C | ALCULATION (continued) | |
| Check Credit card Money Order Other | None | 3 ADI | DITIONA | I EEEC | | | |
| | | | Entity | | l Entity | | |
| Deposit Account: | | Fee Code | Fee | Fee | Fee | Fee Description | Fee |
| Deposit | | | (\$) | Code | (\$) | | Paid |
| Account 04-1928 | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | | |
| Number | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| Deposit Account E. I. du Pont de Nemours and Com | nany | 139 | 130 | 139 | 130 | Non-English specification | |
| Name | .,,,, | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| The Commissioner is authorized to: (check all that apply) | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| ☐ Charge fee(s) indicated below ☐ Credit any overpay ☐ Charge any additional fee(s) during the pendency of this applica | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| Charge fee(s) indicated below, except for the filing fee to the | 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| above-identified deposit account | | 116 | 400 | 216 | 200 | Extension for reply within second month | |
| FEE CALCULATION | | 117 | 920 | 217 | 460 | Extension for reply within third month | 920.00 |
| | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 1. BASIC FILING FEE Large Entity Small Entity | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| | | 119 | 320 | 219 | 160 | Notice of Appeal | |
| Contra (A) | e Paid | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 101 740 201 370 Utility filing fee 740 | | 121 | 280 | 221 | 140 | Request for oral hearing | |
| 106 330 206 165 Design filing fee | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 107 510 207 255 Plant filing fee | | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 108 740 208 370 Reissue filing fee | | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 114 160 214 80 Provisional filling fee | | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| | | 143 | 460 | 243 | 230 | Design issue fee | |
| SUBTOTAL (1) (\$) 740 | | 144 | 620 | 244 | 310 | Plant issue fee | |
| 2. EXTRA CLAIM FEES | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| Extra Fee from | Fee | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1 17(q) | |
| Claims below Total Claims 3 -20 = 0 X 18 = | Paid | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Independent 3 -3 = 0 X 84 = | 0 | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| Multiple | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| Dependent | 0 | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| Large Entity Small Entity | | 179 | 740 | 270 | 070 | - ' ' ' | |
| Fee Fee Fee Fee Code (\$) Code (\$) | | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 103 18 203 9 Claims in excess of 20 | | 169 | 900 | 169 | 900 | Request for expedited examination of a | |
| 102 84 202 42 Independent claims in exce | ess of 3 | | J | | | design application | |
| 104 280 204 140 Multiple dependent claim, i | | Other f | ee (speci | ŧω. | | | |
| 109 84 209 42 ** Reissue independent cla | ams over | Ou lei i | ce (speci | ···· | | | |
| original patent | | | | | | | |
| 110 18 210 9 ** Reissue claims in excess over original patent | s of 20 and | | | | | | |
| SUBTOTAL (2) (\$) 0 | | | | | | | |
| **or number previously paid, if greater, For Reissues, see above | J | *Reduce | ed by Ba | sic Filing | Fee Paid | SUBTOTAL (3) (\$) 920 | |

| SUBMITTED BY | | Complet | Complete (if applicable) | | |
|-------------------|-----------------------|----------------------------------|--------------------------|-----------|--------------|
| Name (Print/Type) | Lynne M. Christenbury | Registration No. Attorney/Agent) | 30,971 | Telephone | 302-992-5481 |
| Signature | Line m. | Christant | | Date | Dec 20, 2001 |

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